

OAPEN Foundation Annual Report 2014

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Introduction

The OAPEN Foundation is dedicated to Open Access publishing of academic books. OAPEN works with publishers to build a quality controlled collection of Open Access books, mainly in the area of Humanities and Social Sciences, and provides services for publishers, libraries and research funders in the areas of dissemination, quality assurance and digital preservation.

OAPEN (Open Access Publishing in European Networks) was developed as a 30-month targeted project co-funded by the EU in its eContentplus-program. The goal of the project was to achieve a sustainable publication model for academic books in Humanities and Social Sciences and to improve the visibility and usability of high quality academic research in Europe.

OAPEN Foundation

OAPEN Foundation was founded by the University of Amsterdam (UvA), the University of Leiden (UL), the University library of Utrecht University (UU), the Netherlands Academy of Sciences (KNAW), the National Library of the Netherlands (KB) and Amsterdam University Press (AUP). These institutes act as Participants in the foundation and are committed to provide financial support. The Participants form a Council that meets once every year. The Council elects the members of the OAPEN Board from its circle. The OAPEN Board can appoint one person from outside the Council in the board. In addition, the Board can establish an Advisory Board.

Board and Participants

On December 31 the Board consisted of the following members:

- Bas Savenije (president)
- Henk Wals (treasurer) - KNAW
- Astrid van Wesenbeeck - KB
- Kurt de Belder - UL

The remaining Participants are represented by Anja Smit (UU) and Frank Huysmans (UvA).

Advisory Board

OAPEN's Advisory board consists of members of the former OAPEN consortium and representatives from stakeholders in scholarly communication, such as publishers, libraries, research councils and scholars. The Advisory board is chaired by Caroline Sutton, director of Co-Action Publishing and first president of the Open Access Scholarly Publishers Association (OASPA).

Funding

In the first three years, 1 April 2011 - 31 March 2014, OAPEN Foundation received funding from the Participants and from NWO. NWO provided a yearly subsidy to match the financial support from the Participants. The total funding from the Participants and NWO amounted to 240,000 euro yearly. Subsequently, the Participants and NWO provided an annual subsidy of in total 55,000 euro for two years. A growing part of OAPEN's income comes from services and projects.

Objectives

The objectives of OAPEN Foundation as stated in the bylaws are:

- To stimulate Open Access publishing of academic books
- To further develop OAPEN as a platform for Open Access books
- To develop a sustainable business model for OAPEN

Activities in 2014

Sustainability

The first three year funding period ended on 31 March 2014. Towards the end of that period the OAPEN board decided to continue the Foundation's activities, based on a sustainability plan.

The approach of the sustainability plan is to transition from a subsidy-based model into a service-based model by establishing a Deposit service for Open Access research monographs. The OAPEN Deposit service is to be the primary source of income, targeting research funders and universities. The service was first introduced for the Austrian research funder FWF towards the end of 2012.

OAPEN Deposit service

The OAPEN Deposit service makes use of the OAPEN Library to provide a centralized repository for hosting and disseminating OA books. The Deposit service consists of the basic services provided by the OAPEN Library and additional tailored services to support OA mandates from research funders and practitioners (research institutions, universities and their libraries). The service is aimed at researchers, publishers, universities and funders.

First partners for the Deposit service

FWF deposits Open Access books funded by FWF in the OAPEN Library since 2013. At this moment, the FWF collection contains 146 titles from 16 publishers. This resulted in 43,804 downloads in 2014, coming from 149 countries.

Knowledge Unlatched agreed to partner with OAPEN to host its first pilot collection towards the end of 2013. The whole collection, consisting of 28 OA books from 13 publishers, became available through the OAPEN Library in the first part of 2014.

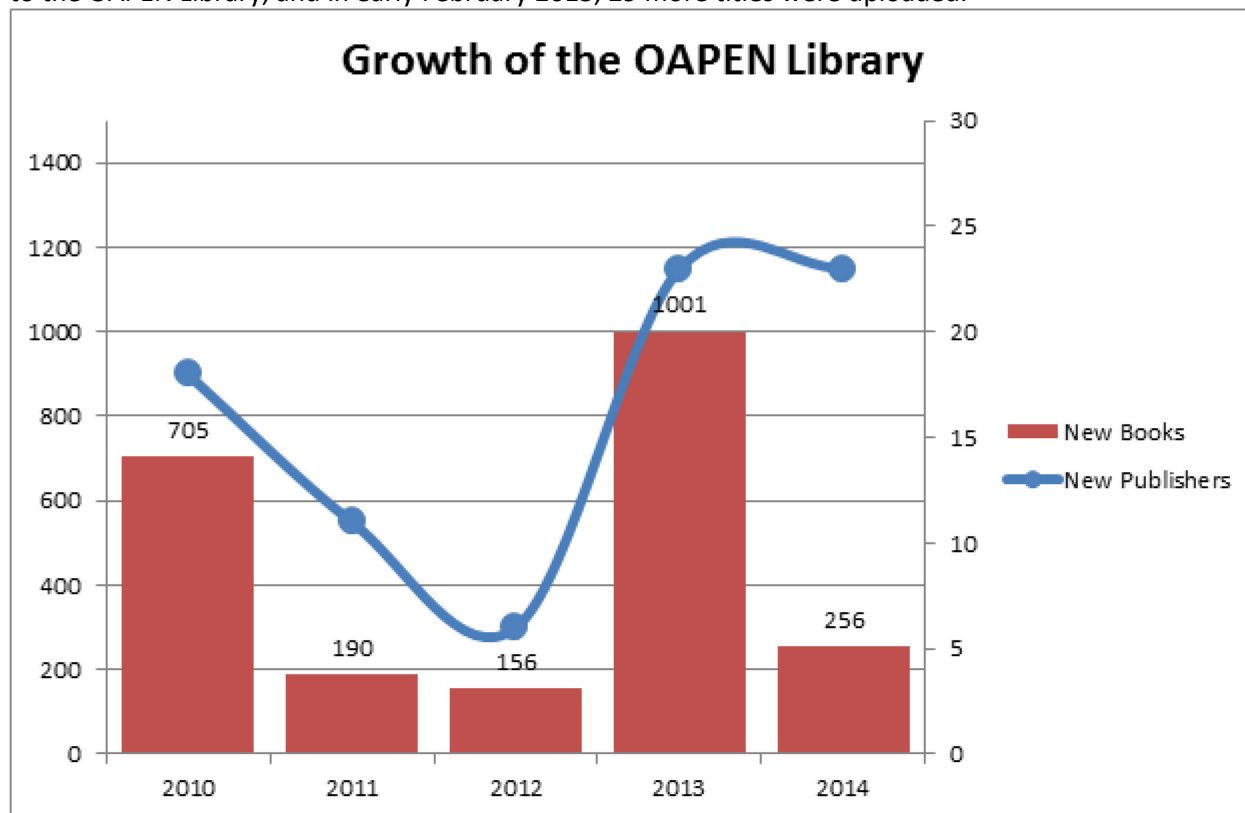
In the spring of 2014, OAPEN started its collaboration with the Wellcome Trust, to host and disseminate all Open Access books and book chapters funded by this leading research funder. As part of the collaboration with the Wellcome Trust, OAPEN introduced new features for the OAPEN Library.

OAPEN is preparing to implement the Deposit service with a number of research funders in new projects. The first project is with the Swiss research funder SNSF in a project called OAPEN-CH, launched in February. The next project will be to implement the Deposit service for the European Research Council (ERC), expected to start in the first half of 2015. A brief description of these projects can be found in the section 'New projects'. In addition to these projects OAPEN hopes to introduce the service with the Netherlands Organisation of Scientific Research (NWO) for OA books funded by NWO in the coming year.

OAPEN Library

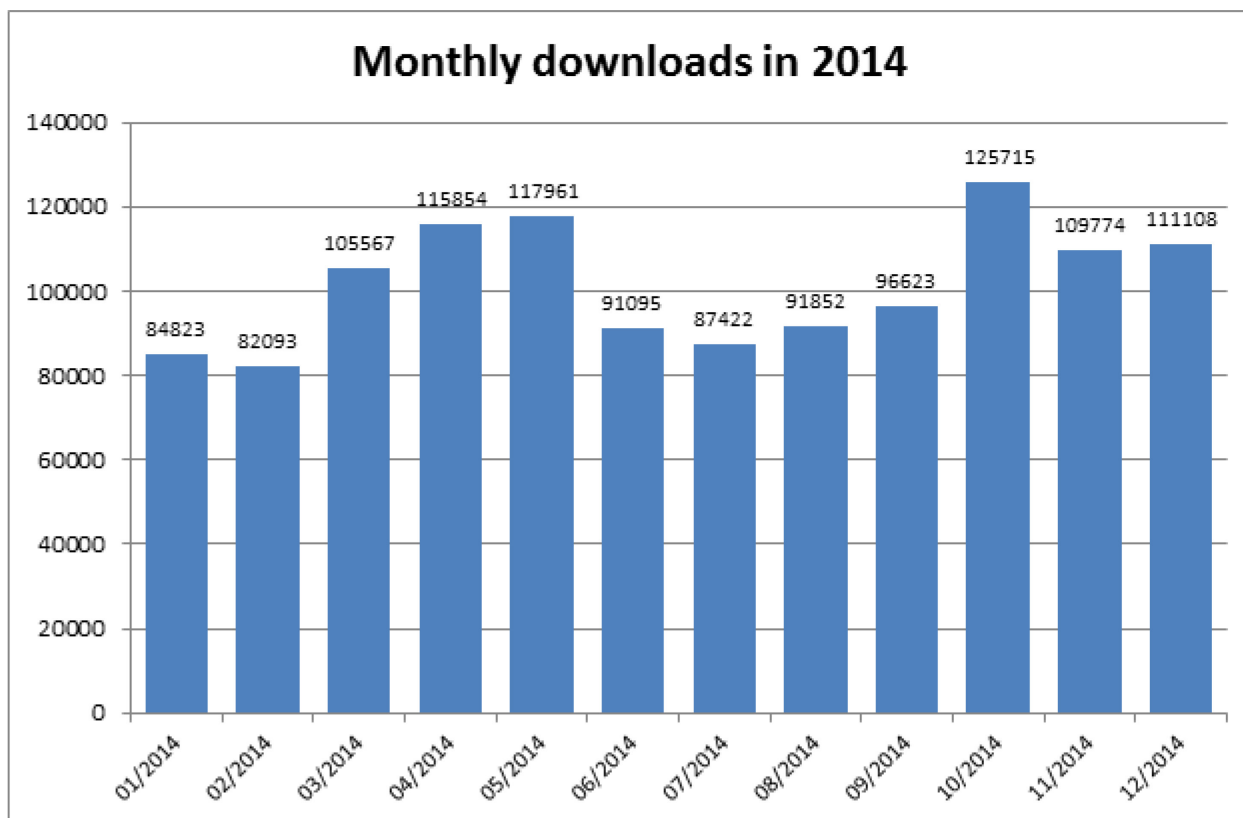
Publishers and the collection

Shortly after the start of 2015 there are 81 publishers contributing 2335 books to the OAPEN Library. Last year saw an increase of 24 new OAPEN publishers and in the first month of 2015 we could add another 3 publishers to that number. New OAPEN members include the Nordic Council of Ministers, Peter Lang, EDP Sciences, Leuven University Press, Stockholm University Press, and the University of Ottawa Press. In 2014, 256 books were added to the OAPEN Library, and in early February 2015, 29 more titles were uploaded.



Usage

Starting in 2013, a COUNTER compliant usage data service was set up in cooperation with IRUS-UK. IRUS-UK is a Jisc-funded repository and infrastructure service. COUNTER (Counting Online Usage of Networked Electronic Resources) is an international initiative serving librarians, publishers and intermediaries by setting standards that facilitate the recording and reporting of online usage statistics in a consistent, credible and compatible way. In the 2014, the OAPEN Library served 1,219,887 downloads.

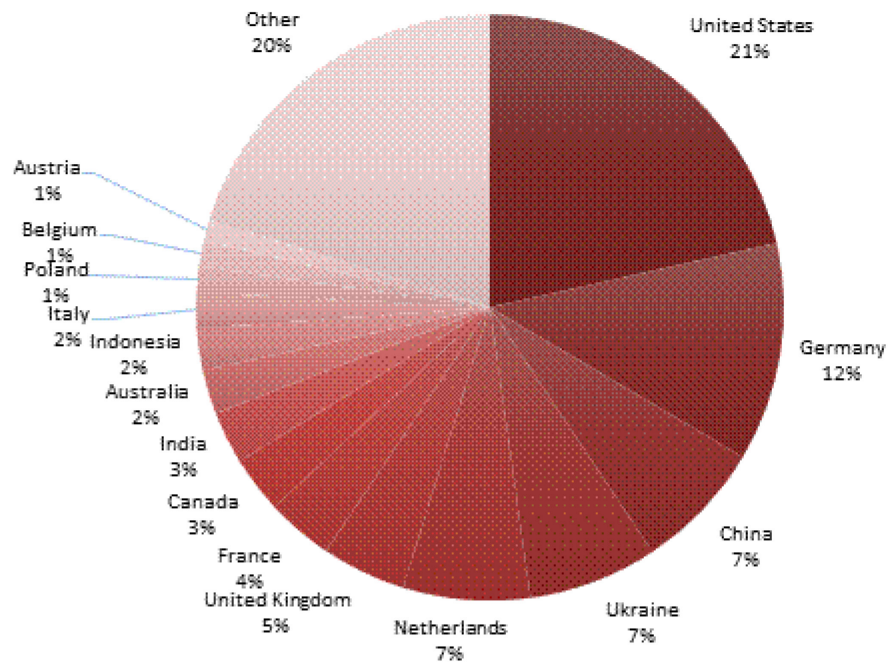


In the third quarter of 2014, all publishers received a usage report containing the monthly totals plus the global usage, describing the number of downloads per country. As the reports will be sent twice a year, the new usage reports will be disseminated in February 2015. Additionally, OAPEN has introduced a premium service for enhanced usage reporting as an option for interested members. The enhanced usage reporting contains details for each individual title and members can request additional reports, based on a selected time frame.

Global impact

Taking into account the country of origin enables us to measure the global impact of the OAPEN Library. In September 2014, OAPEN researched the usage per country. OAPEN books were downloaded in 165 countries, and the chart below lists the percentages. The relatively large usage by Chinese, Indian and Indonesian readers is noteworthy; the OAPEN Library also has a function in the ‘Global South’.

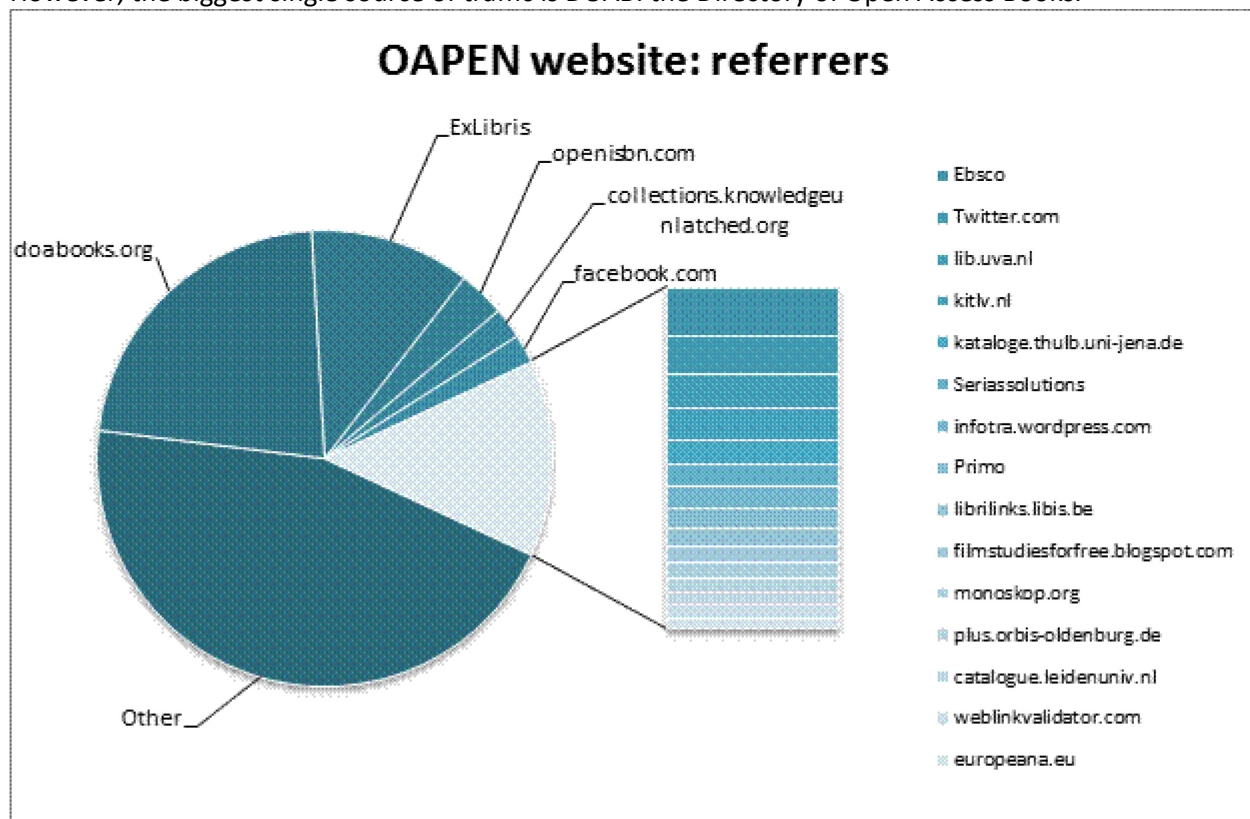
OAPEN downloads



Open Access dissemination

Digital dissemination is a central part of OAPEN’s services, based on metadata conversion and data exports for aggregators, intermediaries and libraries. OAPEN maintains daily data feeds in five formats to enable integration of the OAPEN collection into Library catalogues. Furthermore, to ensure maximum dissemination OAPEN works with a number of content aggregators and library suppliers, and enables integration in social media.

The chart below shows the results. It depicts the sources of traffic to the OAPEN website. Among the top referrers are the aggregators Primo Central (ExLibris); Serials Solutions (ProQuest); EBSCO Discovery Service and Europeana. Also, we have seen a lot of traffic from Twitter and Facebook. It is also interesting to note the amount traffic generated by academic libraries such as University of Amsterdam, Leiden University and Thüringen Univerisität. However, the biggest single source of traffic is DOAB: the Directory of Open Access Books.



Directory of Open Access Books

The Directory of Open Access Books (DOAB) is a discovery service for peer-reviewed books published under an Open Access license. DOAB provides a searchable index to the information about these books, with links to the full texts of the publications at the publisher's website or repository. The service was launched in a Beta version to enable feedback from users and to further develop the service. To find out about user needs and expectations DOAB organized in 2012 a survey and hosted an online discussion on Open Access books and DOAB services with publishers, academics, librarians, and participants from the wider Open Access and publishing community.

The Directory of Open Access books was officially launched on 1 July 2013 at the Open Access Mono-graphs in the Humanities and Social Sciences Conference, The British Library, London. At the start in April 2012, it contained just over 20 publishers and about 750 books. DOAB currently lists 2748 books from 100 different publishers. These publishers are based in 20 different countries: 14 European and 6 outside Europe.

In the first half of 2014, DOAB improved its application procedure and introduced a new online form for publishers. OASPA's membership criteria were taken into consideration in developing this new procedure to ensure high standards of OA book publishing. New publishers are asked to provide information about their company, OA publishing programme, OA monographs, peer review procedures and OA licenses. The information is published on the DOAB website.

The Directory is proving to be a successful aid to enhance the usage of the books in the OAPEN Library. Apart from leading readers to the OAPEN website, this effect can also be measured by looking at the average downloads. The books that have been listed in DOAB are downloaded 665 times per year on average, compared to 324 times per year for books which are not listed; books in DOAB are downloaded more than twice as much.

Partnership with OpenEdition

OAPEN is very pleased to welcome OpenEdition as a partner to support DOAB's activities. OpenEdition supports the aim of DOAB and its commitment to quality assurance of listed publishers and publications. The collaboration will take shape in various ways. OpenEdition will help to set-up a French version of the DOAB website and help in screening new applicants from France. OpenEdition will also act as an agent for its members to upload information and metadata of their books. More in general, OpenEdition will represent DOAB as a partner at events for the academic community and will help to develop our services for publishers and libraries.

Technical developments

As part of the collaboration with the Wellcome Trust, OAPEN introduced new features for the OAPEN Library. OAPEN converts the NLM XML format to TEI XML, and it is now possible to deposit single OA chapters and present OA books at chapter level.

As a result of our collaboration with SciELO, DOAB introduced an automated import procedure for XML-based ONIX metadata. ONIX is a standard for representing and communicating book industry product information in electronic form.

DOAB also introduced an online submission procedure for publisher applications, in an effort to improve the application and reviewing process and gather more information from OA book publishers.

New projects

OAPEN is involved in several new projects, outlined below.

JISC Collections-OAPEN pilot project for centralised services for OA monographs

OAPEN has started a new pilot project in partnership with Jisc Collections, to co-design and set central-ised pilot services with UK universities to support and encourage the publication of Open Access monographs.

The project is carried out on co-design principles – to ensure it can meet the needs of universities and provide evidence of demand. It aims to set up and test central services, prioritizing the following main functions:

- support quality assurance of OA books;
- aggregation and deposit of OA books and chapters;
- improve dissemination and discovery of OA books;
- report about OA policies and usage

In 2014, two workshops were conducted to introduce the project. A third workshop was organized at the start of the project in October to develop use cases around the main functions. Based on the user needs, nine potential pilots were identified that are currently being validated among interested participants.

OAPEN-CH

OAPEN was invited to help set-up a project for the Swiss research funder SNSF. SNSF has introduced an OA policy for monographs and decided to develop a project to test and refine its policy in collaboration with book publishers. The project was modelled after OAPEN-NL. It was launched in December and the first call for publications was sent out in February. The project will run for at least two years.

OAPEN-ERC

Following a presentation of the OAPEN Deposit service for the Open Access Working Group of the European Research Council in February, OAPEN was made an 'identified beneficiary' in the ERC work plan for Horizon 2020, and thus invited to submit a proposal to develop the Deposit service for the ERC. The proposal will be reviewed this month. The project will run for two years and it is expected that it will start before summer.

ESFRI Roadmap

OAPEN was invited to take part in an application for the ESFRI roadmap 2016. ESFRI stands for European Strategy Forum for Research Infrastructures . It is an intergovernmental structure that aims to align national strategies at European level (examples of existing ESFRI are DARIAH and CLARIN). This application is to develop a distributed, pan-European OA publication infrastructure to support research in Humanities and Social Sciences. It is co-ordinated by OpenEdition and will be submitted by the French ESFRI delegates. The submission deadline is 31 March.

Horizon 2020 project

OAPEN became a participant in an application for Horizon 2020, EINFRA-9-2015, called OUEVRE (Open European Virtual Research Environment). The project aims to create a virtual laboratory for Social Sciences and Humanities researchers. The main objective of this platform is to connect people, tools, and funding opportunities through research projects. OAPEN will be content provider and connect the OAPEN Library for research output.

Promoting OAPEN and raising awareness for Open Access Monographs

An important part of OAPEN's activities has to do with promoting Open Access as a publishing model for Monographs and promoting OAPEN as a dedicated platform for OA books. This was mainly done through flyers, regular newsletters, taking part in Book Fairs and talking at conferences. This year more attention was given to social media.

Book Fairs

OAPEN was present at the London International Book Fair (April) and the Frankfurt Book Fair (October). OAPEN represented both OAPEN and DOAB.

Articles

Eelco Ferwerda co-authored a chapter about OAPEN in a book, and wrote an article on business models for monographs:

- Eelco Ferwerda and Janneke Adema, 'Publication Practices in Motion: The Benefits of Open Access Publishing for the Humanities', chapter in: [New Publication Cultures in the Humanities](#). *Exploring the Paradigm Shift*, Péter Dávidházi (ed.) (Amsterdam University Press 2014).
- Eelco Ferwerda, '[Open access monograph business models](#)', in: *Insights: the UKSG journal*, volume 27, supplement 1 (2014)

Ronald Snijder wrote two articles based on OAPEN research data that were published in 2014:

- Ronald Snijder, '[The Influence of Open Access on Monograph Sales : The experience at Amsterdam University Press](#)', in: *LOGOS: The Journal of the World Book Community*, 25(3), 13–23, 2014
- Ronald Snijder, '[Modes of access: the influence of dissemination channels on the use of open access monographs](#)', in: *Information Research*, 19(3) paper 638, September 2014

The article 'Better Sharing Through Licenses? – Measuring the Influence of Creative Commons Licenses on the Usage of Open Access Monographs' by Ronald Snijder was accepted for publication in *Journal of Librarianship and Scholarly Communication*.

Conferences

OAPEN was invited to give talks and presentations at several conferences. A selection is given below:

- OA working group of the European Research Council: a presentation of the OAPEN Deposit service (February, Brussels).
- The 'Journée du Livre Electronique', organized by Couperin: presentation of the first results of the Knowledge Unlatched pilot (June, Paris)
- Elpub conference: workshop 'Non-profit Open Access ventures of significant scope in Europe' (June, Thessaloniki)
- The LIBER conference: presentation the OAPEN Deposit service (July, Riga)
- Helmholtz Open Science workshop: presentation 'How publishers approach Open Access' (September, Jülich)
- ERC-STM joint seminar: presentation 'Challenges in publishing for long-text formats in a digital environment' (October, Brussels)
- - SPARC Europe UK roadshow: Open Access publishing for the Humanities (November, Glasgow and St. Andrews)
- 7th UNICA Scholarly Communications seminar: presentation 'The road to Open Access monographs' (November, Rome)

Appendix 1: Publishers in DOAB

Publisher	Number of books (04-02-15)	Country
Academia Press	26	Belgium
Amsterdam University Press	220	Netherlands
AntropoWeb	3	Czech Republic
ANU Press	353	Australia
Athabasca University Press	93	Canada
Berg Publishers	6	United Kingdom
Berghahn Books	1	United Kingdom
Bloomsbury Academic	88	United Kingdom
Bloomsbury Qatar Foundation Journals	3	Qatar
Böhlau	138	Austria
Brill	50	Netherlands
Cambridge University Press	4	United Kingdom
Centre for Research in Reasoning, Argumentation and Rhetoric (CRRAR): Windsor Studies in Argumentation	2	Canada
Co-Action Publishing	2	Sweden
Collège de France	79	France
De Gruyter	69	Germany
Duke University Press	4	United States
Edinburgh University Press	2	United Kingdom
Edition Praessens	2	Austria
Editions de Linguistique et de Philologie	13	France
EDP SCIENCES	22	France
Edward Elgar Publishing	0	United Kingdom
Fassbaender	1	Austria
Firenze University Press	89	Italy
Georg Olms Verlag	1	Germany
Holzhausen	8	Austria
IOS Press	7	Netherlands
Istituto per la storia del pensiero filosofico e scientifico moderno - National Research Council	2	Italy
Jan Sramek Verlag	2	Austria
John Benjamins Publishing Company	2	Netherlands
Karnac	1	United Kingdom
KIT Scientific Publishing	19	Germany
Koninklijke van Gorcum	1	Netherlands
Language Science Press	5	Germany
Ledizioni - LediPublishing	41	Italy
Leiden University Press	13	Netherlands
Liverpool University Press	8	United Kingdom
Manchester University Press	94	United Kingdom
MDPI AG - Multidisciplinary Digital Publishing Institute	16	Switzerland
MPublishing	4	United States
Musikantiquariat und Verlag Hans Schneider	1	Germany
Newfound Press	0	United States
Novalis Verlag	1	Germany
Open Book Publishers	50	United Kingdom
Open Humanities Press	16	United Kingdom

Publisher	Number of books (04-02-15)	Country
OpenEdition Press	7	France
Österreichisches Archäologisches Institut	9	Austria
Oxford University Press	18	United Kingdom
Palgrave Macmillan	10	United Kingdom
PETER LANG LTD International Academic Publishers	2	Switzerland
Presses agronomiques de Gembloux (Liege University)	15	Belgium
Presses de l'Ifpo	107	France
Projektverlag	1	Germany
punctum books	68	United States
Purdue University Press	2	United States
re.press	0	Australia
Rodopi	1	Netherlands
Routledge	1	United Kingdom
Rutgers University Press	1	United States
SciELO Books - Centro Edelstein	66	Brazil
SciELO Books - Editora Fap-Unifesp	4	Brazil
SciELO Books - Editora FIOCRUZ	87	Brazil
SciELO Books - Editora Mackenzie	0	Brazil
SciELO Books - Editora UNESP	94	Brazil
SciELO Books - EDUEL	1	Brazil
SciELO Books - EDUEM	4	Brazil
SciELO Books - EDUEPB	20	Brazil
SciELO Books - EDUFBA	0	Brazil
SciELO Books - EdUFSCar	0	Brazil
SciELO Books - JBRJ	2	Brazil
SciELO Books - Saúde Brasil 2030	5	Brazil
Springer Science - Business Media	4	Germany
Springer-Verlag GmbH	51	Germany
Studien Verlag	12	Austria
Taylor & Francis	8	United Kingdom
Temple University Press	1	United States
The WAC Clearinghouse	0	United States
transcript Verlag	1	Germany
Turia und Kant	4	Austria
Ubiquity Press]u[12	United Kingdom
Universidad Carlos III de Madrid. Figuerola Institute of Social Science History	70	Spain
Universitätsverlag Göttingen	208	Germany
University of Adelaide Press	42	Australia
University of Calgary Press	61	Canada
University of Huddersfield Press	5	United Kingdom
University of Michigan Press	30	United States
University of Rochester Press	1	United States
University of Tartu Press	17	Estonia
University of Wales Press	3	United Kingdom
Utah State University Press/ Computers and Composition Digital Press	8	United States
Utah State University, University Libraries	97	United States
V&R unipress	1	Germany
Verlag Barbara Budrich	1	Germany

Publisher	Number of books (04-02-15)	Country
Verlag der Österreichischen Akademie der Wissenschaften	15	Austria
Verlag des Landesmuseums für Kärnten	1	Austria
Verlag Karl Alber	1	Germany
Vittorio Klostermann GmbH	2	Germany
Wageningen Academic Publishers	3	Netherlands
Wallstein Verlag	1	Germany
Wilhelm Fink	1	Germany
Total number of books	2748	

Appendix 2: New publishers in OAPEN

Publisher	Year of entry
EDP Sciences	2015
Stockholm University Press	2015
University of Ottawa Press	2015
Berghahn Books	2014
Bloomsbury Academic	2014
Cambridge University Press	2014
De Gruyter	2014
Duke University Press	2014
Edinburgh University Press	2014
Edition Praesens	2014
Fassbaender	2014
Georg Olms Verlag	2014
Karnac	2014
Leuven University Press	2014
Nordic Council of Ministers	2014
PETER LANG LTD International Academic Publishers	2014
Projektverlag	2014
Purdue University Press	2014
Rodopi	2014
Routledge	2014
Rutgers University Press	2014
Temple University Press	2014
transcript Verlag	2014
University of Michigan Press	2014
University of Rochester Press	2014
Verlag Karl Alber	2014
Wilhelm Fink	2014