

OAPEN Foundation Annual Report 2017

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Introduction

The OAPEN Foundation is dedicated to open access publishing of academic books. OAPEN works with publishers to build a quality-controlled collection of open access books, mainly in the area of humanities and social sciences, and provides services for publishers, libraries and research funders in the areas of dissemination, quality assurance and digital preservation.

OAPEN (Open Access Publishing in European Networks) was developed as a 30-month targeted project co-funded by the EU in its eContentplus-program. The goal of the project was to achieve a sustainable publication model for academic books in humanities and social sciences and to improve the visibility and usability of high quality academic research in Europe.

OAPEN Foundation

OAPEN Foundation was initiated by the University of Amsterdam (UvA), the University of Leiden (UL), the University library of Utrecht University (UU), the Netherlands Academy of Sciences (KNAW), the National Library of the Netherlands (KB) and Amsterdam University Press (AUP). These institutes act as Participants in the foundation and have provided financial support. The Participants form a Council that meets once every year. The Council elects the members of the OAPEN Board from its circle. The OAPEN Board can appoint one person from outside the Council in the board. In addition, the Board can establish an Advisory Board.

Board and Participants

On December 31 the Board consisted of the following members:

- Bas Savenije (president)
- Yildiz van den Akker (treasurer) – HuC-KNAW
- Astrid van Wesenbeeck - KB
- Kurt de Belder - UL

The remaining Participants are represented by Anja Smit (UU) and Frank Huysmans (UvA).

Funding

In the first three years, 1 April 2011 - 31 March 2014, OAPEN Foundation received funding from the Participants and from NWO. NWO provided a yearly subsidy to match the financial support from the Participants. The total funding from the Participants and NWO amounted to 240,000 euro yearly. Subsequently, the Participants and NWO provided an annual subsidy of in total 55,000 euro for two years. A growing part of OAPEN's income comes from services and projects.

Objectives

The objectives of OAPEN Foundation as stated in the bylaws are:

- To stimulate open access publishing of academic books
- To further develop OAPEN as a platform for open access books
- To develop a sustainable business model for OAPEN

Activities in 2017

OAPEN-ERC

This project started in December 2015 and finished in December 2017. During the course of the project, the OAPEN website was extended with a special ERC section (<http://www.oapen.org/content/erc-deposit>). This section includes information about ERC requirements, information about the Deposit service and guidance about publishing open access monographs. The service for researchers is provided through an online deposit form.

The OAPEN website also includes a general section for publishers introducing the Deposit service, with guidance about the service (<http://www.oapen.org/content/deposit-deposit-service-publishers>).

The output of the project, in terms of publications that were identified, is clarified in the table below.

Total number of identified publications, resulting from ERC grants*		147
Uploaded in OAPEN		71
Pending		64
Not uploaded		12
Of uploaded publications (71):		
Books versus chapters	<i>Chapter:</i>	32
	<i>Book:</i>	39
FP7 versus H2020	<i>H2020:</i>	2
	<i>FP7 no Special Clause 39:</i>	56
	<i>FP7 with SC39:</i>	13
Aggregated versus deposited	<i>Aggregated:</i>	54
	<i>Deposited:</i>	17
	<i>By researcher</i>	5
	<i>By publisher</i>	12
Gold and green	<i>Gold: published OA</i>	55
	<i>Green:</i>	16
	<i>Published version:</i>	70
	<i>Manuscript:</i>	1

* January 2018

ERC: Funder compliancy list

In 2017, as part of the project, a section has been added to the OAPEN website with a list of publishers that comply with funder requirements (from ERC, Wellcome and FWF). OAPEN's goal is to inform researchers about compliant publishers, but also to encourage publishers to adjust their policies so that they become compatible with funders' requirements.

During the course of 2017, the list has been extended with a column with links to the green OA/self-archiving policy of publishers. In January 2018, there were 25 publishers on the list.

The list can be found here:

<http://oapen.org/content/deposit-publishers-list-compliant-book-publishers>.

KU Open Services

OAPEN partner Knowledge Unlatched (KU) has introduced 'Open Services', designed to help publishers achieve greater impact for their open access books. The new service is an additional offer for those OA titles that have not been financed through KU's traditional crowd funding model. The service aims to increase discoverability of OA titles by working with a range of leading hosting platforms, indexing databases, and archives. KU will provide quarterly usage reports to demonstrate the real impact of OA publications, and introduce measures to avoid double dipping. Eight publishers in the USA and Europe have already signed up for the service.

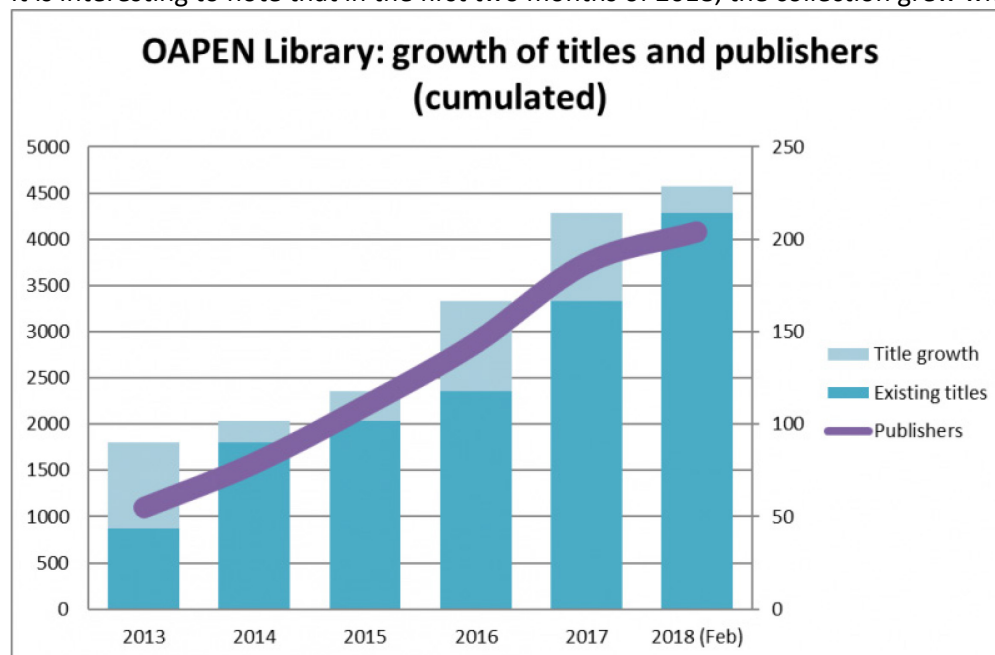
All books will become available through OAPEN, in addition to the existing KU funded titles.

OAPEN Library

Publishers and the collection

In 2017, 957 titles were added to the Library. The total number of titles in 2017 rose to 4298, and 1% of those titles are chapters. About 40% of the new titles have been added through the cooperation with Knowledge Unlatched. Furthermore, the partnership with the European Research Council, Austrian Science Fund FWF, Swiss Science Fund SNSF and the Wellcome Trust also led to new titles.

It is interesting to note that in the first two months of 2018, the collection grew with 289 new titles.



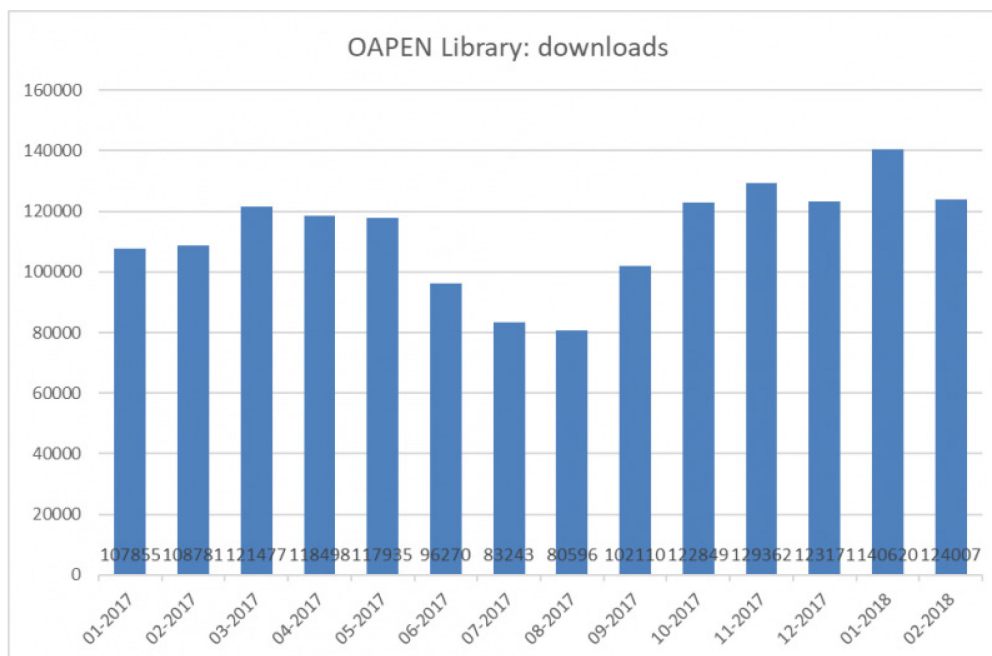
New publisher members in 2017

In 2017 we welcomed a number of new publishers as members to OAPEN, both eminent traditional academic publishers and new OA initiatives: bu,press, Cappelen Damm Akademisk/NOASP (Nordic Open Access Scholarly Publishing), Carl Grossmann Verlag, Goodfellow Publishers, Heidelberg University Publishing (heiUP), Kriterium, Liverpool University Press, Open Book Publishers, Taylor & Francis, University of Huddersfield Press, and Wits University Press.

Usage

Starting in 2013, a COUNTER compliant usage data service was set up in cooperation with IRUS-UK. IRUS-UK is a Jisc-funded repository and infrastructure service. COUNTER (Counting Online Usage of Networked Electronic Resources) is an international initiative serving librarians, publishers and intermediaries by setting standards that facilitate the recording and reporting of online usage statistics in a consistent, credible and compatible way.

In 2017, the OAPEN Library served 1,312,147 downloads.



Milestone 5 million downloads

In November we reported that the OAPEN Library had reached the milestone of five million downloads, since the start of COUNTER compliant reporting in June 2013.

Directory of Open Access Books

The Directory of Open Access Books (DOAB) is a discovery service for peer-reviewed books published under an open access license. DOAB provides a searchable index to the information about these books, with links to the full texts of the publications at the publisher's website or repository. The Directory of Open Access books was officially launched on 1 July 2013.

Milestone 10.000 titles

In November DOAB reached the milestone of 10.000 titles. Achieving this milestone was in large part due to our partner OpenEdition, who has been very important for DOAB's growth in 2017. OpenEdition added around 40 new publishers from the OpenEdition Books collection to the directory, which resulted in over 2000 new OA books.

De Gruyter sponsorship

In March we announced that De Gruyter decided to become a sponsor of DOAB. With this sponsorship, De Gruyter celebrates the launch of the De Gruyter Open Access Book Library on degruyter.com. The Open Access Book Library is intended to draw attention to the growing number – and growing importance – of open access books. Of the almost 900 titles in the Library, approximately half are De Gruyter titles. The other half is supplied by publishing partners, but available on degruyter.com. This makes De Gruyter the largest independent publisher of original content open access books in the humanities.

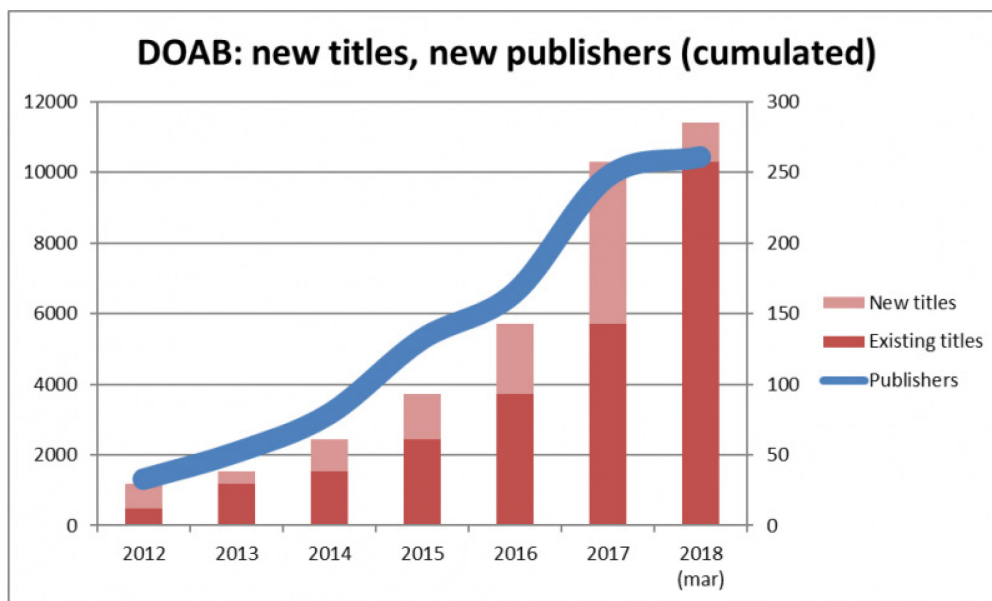
Chapter level metadata & ONIX import

DOAB is taking part in a European Horizon 2020 project (HIRMEOS), to develop a certification service for OA book publishers. As part of this project, new features are being added to DOAB. It is now possible to distinguish between chapters and books. A chapter is clearly indicated at the publication's record. Also, funder information is now included in the metadata. Another important new feature of DOAB is the possibility for publishers to get their publications and metadata indexed in DOAB through ONIX XML import.

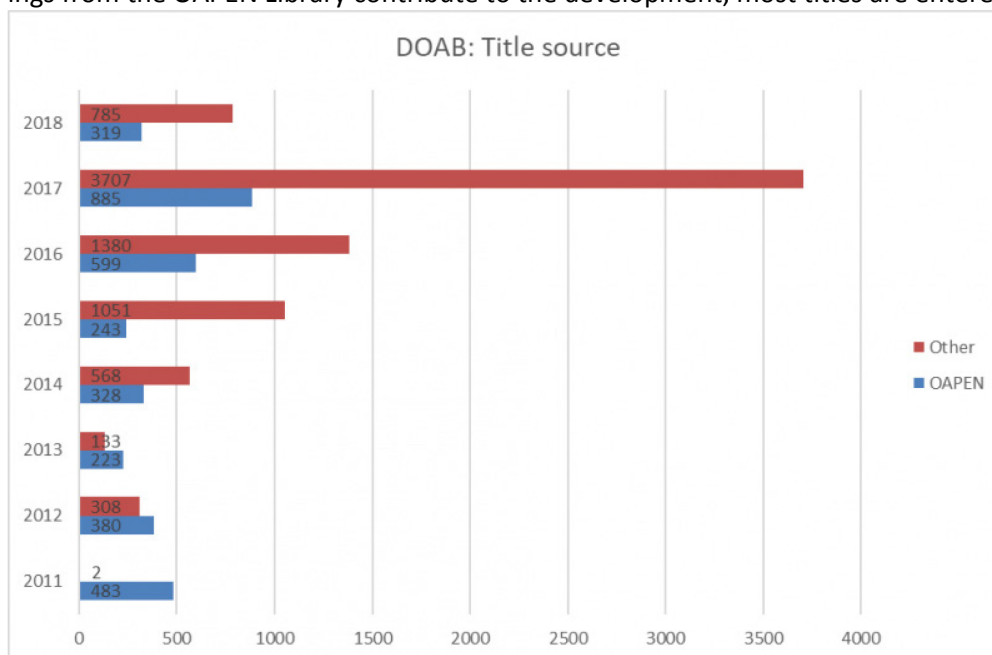
Publishers and the collection

In 2017, 4592 new titles have been added to the Directory of Open Access Books. Furthermore, 82 new publishers have been added. At the end of 2017, the total number of titles listed in DOAB was 10290.

The first two months of 2018 saw an increase of 1104 new titles, with 14 new publishers added to DOAB.



The growth of titles in 2017 is predominantly caused by the autonomous growth of the DOAB service. While listings from the OAPEN Library contribute to the development, most titles are entered directly.



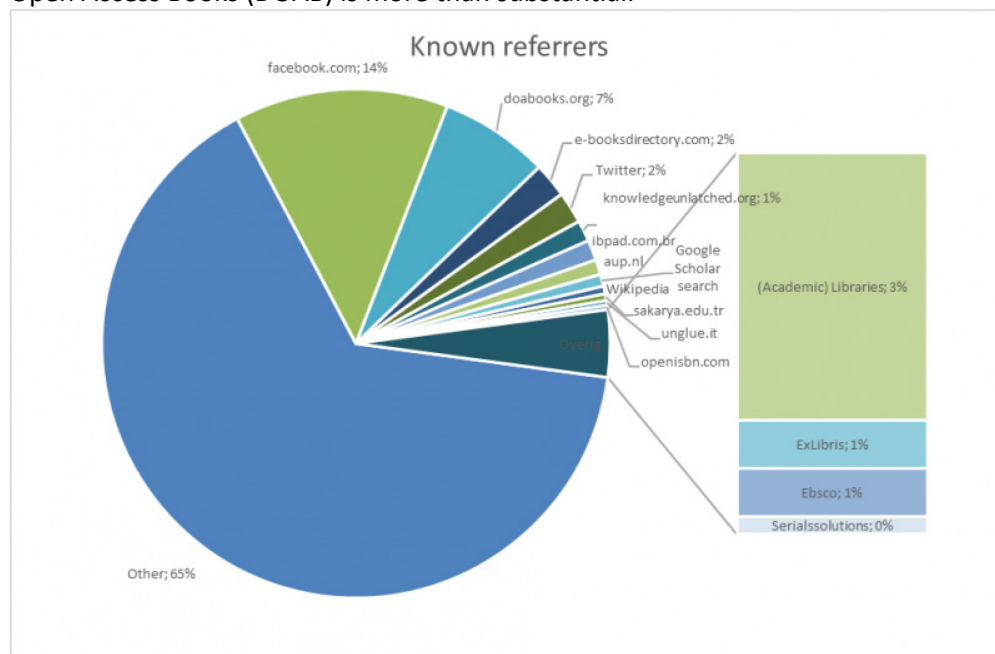
DOAB as usage enhancer

The Directory is proving to be a successful aid to enhance the usage of the books in the OAPEN Library. Apart from referring readers to the OAPEN website, this effect can also be measured by looking at the average downloads. The books that have been listed in DOAB are downloaded 343 times per year on average, compared to 216 times per year for books which are not listed; a difference of 63%.

Open access dissemination

Digital dissemination is a central part of OAPEN’s services, based on metadata conversion and data exports for aggregators, intermediaries and libraries. OAPEN maintains daily data feeds in several formats to enable integration of the OAPEN collection into library catalogues. Furthermore, to ensure maximum dissemination, OAPEN works with a number of content aggregators and library suppliers, and enables integration in social media.

The chart below shows the results. It depicts the sources of traffic to the OAPEN website. The role of social media is substantial; especially Facebook. Among the top referrers are the academic libraries and aggregators ExLibris; Serials Solutions (ProQuest) and EBSCO Discovery Service. Furthermore, the traffic from Knowledge Unlatched, Google Scholar and Wikipedia is growing. Also, the traffic from the Brazilian provider ibpad.com and unglue.it is noteworthy: a sign that OAPEN’s reach is expanding beyond academia. Furthermore, the role of the Directory of Open Access Books (DOAB) is more than substantial.



Technical developments

OAPEN metadata feeds restructured

Chapters are becoming a more substantial part of the OAPEN Library's collection and we have updated our metadata feeds accordingly. All data feeds (ONIX, MARC XML, MARC21, Excel, CSV, TSV) now contain full metadata of books and also of chapters, including the corresponding books. The ONIX data feeds are compliant to the specifications of EDItEUR; the MARC data feeds are compliant to the specifications of Library of Congress. On 2 February 2017, the ONIX 3.0, MARC XML and MARC21 data feed were restructured and the ONIX 2.1 feed has been terminated. The Excel, CSV, and TSV data feed had been restructured previously.

New projects

OAPEN is involved in several new projects, outlined below.

OPERAS

The OPERAS consortium developed two successful applications for Horizon 2020 projects. OAPEN is participating in both these projects, outlined below.

HIRMEOS

The HIRMEOS project is in the process of developing a number of new services across five existing platforms for open access monographs, including the OAPEN Library. The services include identification, authentication and interoperability (DOI, ORCID, Fundref), enriching information and entity extraction (INRIA (N)ERD), the ability to annotate monographs, and a service to gather usage and alternative metrics. HIRMEOS also involves DOAB, to provide automated uploading, and to develop a certification system to document monograph peer review.

OPERAS-D

The OPERAS-D (Design) project addresses long-term requirements for the development of the OPERAS e-infrastructure and network. The project develops governance models, requirements for future services, and the network of partners participating in OPERAS across Europe. OPERAS-D also engages with key stakeholders inside and outside Europe for potential collaboration.

OPERAS is a consortium aiming to establish a distributed research infrastructure for open access publishing in the social sciences and humanities across Europe. OAPEN is one of the partners, member of the core group and coordinator for the Netherlands. The OPERAS Design Study is the first major outcome of the OPERAS-D project. The main part of the Design Study consists of a landscape of OPERAS field of activity, the technical mapping of the OPERAS consortium, a survey on users' needs concerning scholarly communication and academic publishing, and finally the governance and business models of the future infrastructure within the ESFRI framework. A central finding of the study is the fragmentation of scholarly publishing in the humanities and social sciences. This has inspired the OPERAS vision and mission of integration.

OAPEN-CH

OAPEN is involved in an ongoing pilot project with the Swiss national research funder SNSF, to publish a range of OA books in collaboration with 12 publishers in Switzerland and neighbouring countries. In all, the pilot involved 53 OA books and 52 books published in a conventional manner, as a control group. The pilot was largely modelled after the OAPEN-NL pilot, aimed at learning about the effect of OA book publishing on usage, sales, and cost. The project will be finalised in 2018.

Promoting OAPEN and raising awareness for open access monographs

KE Landscape Study

Eelco Ferwerda was one of the principal investigators in a study commissioned by Knowledge Exchange, with support of FWF, CRISTin and Couperin. The study, conducted with Frances Pinter and Niels Stern, provides an extensive overview of OA monographs' policies, funding streams and publishing models in Austria, Denmark, Finland, France, Germany, the Netherlands, Norway, and the United Kingdom. The study builds on 73 in-depth conversations, conducted across the eight different countries, resulting in the biggest landscape study on the conditions and potentials for OA books yet.

Book Fairs

OAPEN visited the Book Fairs in London and Frankfurt. OAPEN shared a stand with OpenEdition in Frankfurt.

Articles and reports

Report on Open Access and Monographs

Knowledge Exchange published the study on the status of OA books in eight European countries. The report was written by Frances Pinter, Niels Stern and Eelco Ferwerda.

Ronald Snijder wrote two publications based on OAPEN research data, published in 2017:

- Snijder, R. (2017). Assessing the impact of open access books. In E. Ferwerda, F. Pinter, & N. Stern (Eds.), *A landscape study on open access and monographs: Policies, funding and publishing in eight European countries*. Knowledge Exchange. <https://doi.org/10.5281/zenodo.815931>.
- Snijder, R. (2017). *Patterns of information - clustering books and readers in open access libraries*. <https://doi.org/10.17605/OSF.IO/UT23M>.

Conferences and workshops

ALPSP seminar

Eelco Ferwerda gave a presentation on the Discovery of OA monographs at an ALPSP seminar (February 2017, London).

OPERAS workshop

OAPEN organised a workshop for the OPERAS network in Amsterdam (June 2017).

OASPA conference

Eelco Ferwerda organised a panel on Peer review and OA monographs at the OASPA conference (September 2017, Lisbon).

ERC information session

Eelco Ferwerda gave a presentation of the OA books landscape and the OAPEN Deposit service to the Executive Agency of the ERC (October 2017, Brussels).

UKSG Forum

Eelco Ferwerda gave a presentation on Open Access and monographs, based on the Knowledge Exchange Landscape Study, at the UKSG Forum (November 2017, London)

OASPA & KE webinar

OASPA and Knowledge Exchange held a webinar about new developments in open access monographs. The webinar was chaired by Jeroen Sondervan (Knowledge Exchange) and speakers were: Lucy Montgomery (Knowledge Unlatched Research), Christina Emery (Springer Nature), and Eelco Ferwerda (December 2017).

The webinar recording, along with all slides, is available here: <https://oaspa.org/information-resources/oaspa-webinars/>

The summary of the discussion is also available on the OASPA blog: <https://oaspa.org/panelists-discuss-new-developments-open-access-monographs-oaspa-knowledge-exchange-webinar/>

Appendix 1: Publishers in DOAB (with 10 or more OA books)

Publisher	Number of titles (7-3-2018)
Academia Press	31
Academic Studies Press	56
Accademia University Press	14
African Minds	13
Alpara	36
Amsterdam University Press	314
ANU Press	486
AOSIS	23
Ariadna Ediciones	21
Athabasca University Press	124
Berghahn Books	11
Bloomsbury Academic	160
Böhlau	222
Brill	237
bu,press Bozen-Bolzano University Press	11
C.H.Beck	21
Cappelen Damm Akademisk/NOASP (Nordic Open Access Scholarly Publishing)	27
Casa de Velázquez	41
CEDEJ - Égypte/Soudan	32
CEFAS - Centre français d'archéologie et de sciences sociales	10
Central European University Press	37
Centre français des études éthiopiennes	15
Centre Jacques-Berque	19
Centro de estudios mexicanos y centroamericanos	70
Chronos Verlag	10
CIDEHUS	17
CNRS Éditions	87
Collège de France	142
Cornell University Press	42
De Gruyter	792
Duke University Press	27
Edinburgh University Press	27
Edition Open Access	28
Editions Alphil Presses universitaires suisses	11
Éditions Contrechamps	15
Éditions de l'École des hautes études en sciences sociales	19
Éditions de l'IHEAL	62
Éditions de la Bibliothèque publique d'information	64
Éditions de la Sorbonne	82
EDP SCIENCES	30
ENS Éditions	96
Finnish Literature Society / SKS	53
Firenze University Press	89

Publisher	Number of titles (7-3-2018)
Fordham University Press	21
FrancoAngeli	18
Frontiers Media SA	1068
Graduate Institute Publications	147
Holzhausen	16
innsbruck university press	16
Institut de la gestion publique et du développement économique	42
Institut de recherche sur le Maghreb contemporain	17
Institut de recherches et d'études sur le monde arabe et musulman - IREMAM	43
Institut français d'études anatoliennes	44
Institut français d'études andines	124
Institut français de recherche en Afrique - IFRA-Nigeria	22
Institute for Local Self-Government and Public Procurement Maribor	28
IOS Press	26
IRD Éditions	87
John Benjamins Publishing Company	22
KIT Scientific Publishing	92
Language Science Press	57
Ledizioni - LediPublishing	121
Leiden University Press	27
Leuven University Press	130
LIBRUM Publishers & Editors LLC	18
Liverpool University Press	26
Manchester University Press	147
MDPI AG - Multidisciplinary Digital Publishing Institute	334
meson press	19
Newfound Press	46
Northwestern University Press	10
Open Book Publishers	127
Open Humanities Press	34
OpenEdition Press	26
Oxford University Press	43
Palgrave Macmillan	82
PETER LANG LTD International Academic Publishers	24
Pluto Press	26
Policy Press	10
Presses agronomiques de Gembloux (Liege University)	22
Presses de l'Ifpo	127
Presses de l'Université de Montréal	80
Presses des Mines	35
Presses Sorbonne Nouvelle	100
Presses universitaires d'Aix-Marseille	27
Presses universitaires de Caen	57
Presses universitaires de la Méditerranée	42
Presses universitaires de Liège	45

**Number of titles
(7-3-2018)**

Publisher	Number of titles (7-3-2018)
Presses universitaires de Louvain	46
Presses universitaires de Paris Nanterre	66
Presses universitaires de Provence	122
Presses universitaires de Rennes	528
Presses universitaires du Septentrion	98
Presses universitaires François-Rabelais	91
Publications du Centre Jean Bérard	38
punctum books	199
Purdue University Press	11
Rosenberg & Sellier	17
Routledge	40
Rutgers University Press	13
SciELO Books - Centro Edelstein	114
SciELO Books - Editora FIOCRUZ	140
SciELO Books - Editora UNESP	179
SciELO Books - Editus	10
SciELO Books - EDUEPB	40
SciELO Books - EDUFBA	99
Siglo del Hombre Editores	10
Société des Océanistes	18
Springer	352
Stockholm University Press	13
Studien Verlag	14
Tampere University Press	21
Taylor & Francis	15
transcript Verlag	85
Ubiquity Press	35
UCL Press	62
Universidad Carlos III de Madrid. Figuerola Institute of Social Science History	107
Universidad Externado de Colombia	16
Universidad Nacional de La Plata. Facultad de Humanidades y Ciencias de la Educación	56
Universitätsverlag Göttingen	298
University of Adelaide Press	64
University of Calgary Press	87
University of California Press	43
University of Michigan Press	69
University of North Texas Press	88
University of Ottawa Press / Les Presses de l'Université d'Ottawa	10
University of Tartu Press	22
University of Toronto Press	11
University Press of Colorado	20
Utah State University, University Libraries	97
UTS ePRESS	12
Verlag der Österreichischen Akademie der Wissenschaften	49
W. Bertelsmann Verlag	28

Publisher

**Number of titles
(7-3-2018)**

World Scientific Publishing Co.	14
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Appendix 2: New publishers in OAPEN (added in 2017)

Publisher
Anthem Press
Arco
Brepols
bu,press
Cappelen Damm Akademisk/NOASP (Nordic Open Access Scholarly Publishing)
Carl Grossmann Verlag
Cornell University Press
CRC Press
De Gruyter Mouton
De Gruyter Oldenbourg
De Gruyter Saur
Georg-Eckert-Institut - Leibniz-Institut für Internationale Schulbuchforschung bei Vandehoek & Ruprecht
Goodfellow Publishers
Gorgias Press; University of Birmingham
Harrassowitz Verlag
Heidelberg University Publishing (heiUP)
Hollitzer
Inida
K. G. Saur
Kriterium
Mandelbaum Verlag
Medizinisch Wissenschaftliche Verlagsgesellschaft
Northwestern University Press
NUS Press
Open Book Publishers
Royal College of General Practitioners (RCGP)
Stichting Archiefpublicaties
Sydney University Press
The MIT Press
The Ohio State University Press
UCT Press
University of Calgary Press
University of Gothenburg - Department of Historical Studies
University of Hawai'i / Hawai'i Press
University of Huddersfield Press
University of North Carolina Press
University of North Texas Press
Utah State University Press
Vandenhoeck & Ruprecht
Verlag der Technischen Universität Graz
W. Bertelsmann Verlag
Waxmann Verlag
Wits University Press